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U.S. PTO  
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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application Transmittal

1033 U.S. PTO  
09/885683  
06/20/01

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventor: Mitchell Cohen et al.

For: IMPROVED OPTICAL SUBASSEMBLY FOR OPTICAL COMMUNICATIONS

**ASSIGNEE NAME: INTERNATIONAL BUSINESS MACHINES CORPORATION**

**ASSIGNEE RESIDENCE: ARMONK, NEW YORK**

Enclosed are:

20 sheets of specification and 1 abstract  
 7 sheets of drawings  
 A Declaration and Power of Attorney  
 An Information Disclosure Statement and form PTO-1449  
 A certified copy of a \_\_\_ application  
 An assignment of the invention to International Business Machines Corporation, Armonk, New York 10504 and Recordation form PTO-1595

The filing fee has been calculated as follows:

For:	No. Filed	No. Extra
Basic Fee		
Total Claims	18	-20 = 0
Indep. Claims	2	-3 =
<input type="checkbox"/> Multiple Dependent Claim Presented		

Other Than Small Entity

Rate	Fee
	\$ 710.00
x \$18.00=	\$ 0.00
x \$80.00=	\$ 0.00
\$260.00	\$ 0.00
<b>TOTAL</b>	<b>710.00</b>

EXPRESS MAIL CERTIFICATE

Express Mail Label No.: EK595506796US

Date: June 20, 2001

I hereby certify that I am depositing the enclosed or attached paper with the U.S. Postal Service "Express Mail Post Office to Addressee" service on the above date, addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Leslie J. Payne

Patent Application Transmittal  
Attorney Docket No.: ROC920000148US1

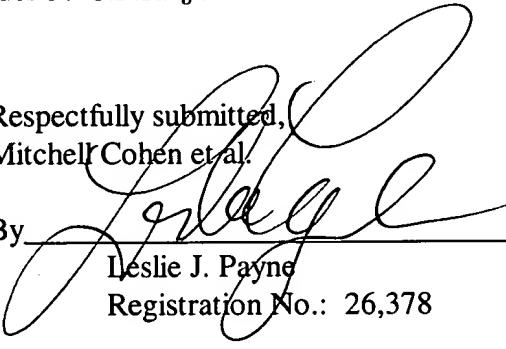
Deposit Account Authorization:

- Please charge Deposit Account No. 09-0465 in the amount of \$710.00. A duplicate copy of this sheet is enclosed.
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  - Any additional filing fees required under 37 C.F.R. §1.16.
  - Any patent application processing fees under 37 C.F.R. §1.17.

Date: June 20, 2001

Respectfully submitted,  
Mitchell Cohen et al.

By

  
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